

Reducing Brewery Start-Up Risk

Matthew McLaughlin

matthew@mclaughlinpc.com

601-985-7979

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Introduction



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Proper Entity

- Limited liability company
- Corporation
 - Subchapter S
 - Subchapter C
- Other...



Address Founder Issues

- Restriction clouds
- Divide the pie
- Assignment of intellectual property
- Licensability issues
- Corporate organizational documents



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Trademarks

- Clearance***
- Intent to use
- What to protect
 - Brewery name
 - Flagship beers
 - Brand taglines
- Risks



Graphic Design

- Third party graphic designer
 - Work for hire
 - Assignment of rights
- In house design
- Register stylized mark



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Raise Capital

- Securities compliance
- Sources
- Founder equity
- Outside investors
- Debt



Federal Permit & COLAs

- Application requirements
 - Brewers notice
 - Felony convictions
 - Tied house issues
 - Brewers bond
 - Personnel questionnaire
 - Environmental information
- Timeframe
- COLAS



DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU
8002 FEDERAL OFFICE BUILDING
550 MAIN STREET
CINCINNATI, OHIO 45202

Your application qualifying as a Brewery has been approved. Attached are your Brewer's Notice, Serial No. 1, approved bond and any other applicable documents. These approved documents should be kept at the brewery premises, readily available for examination by TTB officials.

TTB F 5130.10 (11/2009)

ATTACHMENT TO BREWER'S NOTICE

Item 4: Our Trade Name is:

Operating Name (DBA)	Great Change Brewing
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Item 12: Title to premises and equipment (list names and addresses of owner of land or buildings, or if any other mortgage or encumbrance on the

State Permit & Brand Registration

- Application requirements
 - Ownership structure
 - Felony convictions
 - Tied house issues
- Timeframe
- Brand registration



Hire and Manage Team Members

- Offer letters
- Employment agreements
- Employee handbook and policies
- Incentive equity



Questions and Comments

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